

Решение Комиссии Таможенного союза от 7 апреля 2011 года №621 «О Положении о порядке применения типовых схем оценки (подтверждения) соответствия требованиям технических регламентов Таможенного союза»

D E C I S I O N

7 April 2011 г.

№ 621

Moscow

ON REGULATION ON PROCEDURES FOR APPLICATION OF THE MODEL SCHEMES OF CONFORMITY ASSESSMENT (CONFIRMATION) WITH THE TECHNICAL REGULATIONS OF THE CUSTOMS UNION

Customs Union Commission has **decided to:**

1. Approve the Regulation on Procedures for Application of Model Schemes of Conformity Assessment (Confirmation) with the Technical Regulations of the Customs Union (**attached**).

2. Parties are to ensure the use of Model Schemes of Conformity Assessment (Confirmation) with the Technical Regulations of the Customs Union in drafting technical regulations by bodies of the parties responsible for the development of technical regulations of the Customs Union.

3. This Decision of the Customs Union Commission shall come into force from the date of its publication.

Members of the Customs Union Commission:

| | | |
|-----------------------------|--------------------------------|----------------------------|
| For the Republic of Belarus | For the Republic of Kazakhstan | For the Russian Federation |
| S. Rumas | U. Shukeev | I. Shuvalov |

**ON REGULATION ON PROCEDURES FOR APPLICATION OF THE MODEL
SCHEMES OF CONFORMITY ASSESSMENT (CONFIRMATION) WITH THE
TECHNICAL REGULATIONS OF THE CUSTOMS UNION**

1. Regulation on procedures for application of the model schemes of assessment (confirmation) of compliance with the technical regulations of the Customs Union is developed with a view to implement provisions of Agreement on Common Principles and Rules of Technical Regulation in the Republic of Belarus, Republic of Kazakhstan and Russian Federation dated November 18, 2010.

2. For products, the requirements for which are stipulated in the technical regulations of the Customs Union (hereinafter - the Technical regulations), conformity assessment is carried out in the form of confirmation of compliance (declaration of conformity, certification), registration including the state registration, assessment, validation, testing, state control (supervision), and (or) any other form.

Conformity assessment in the form of registration, expertise, applicability appraisal, state control (supervision) and (or) in other form is set by the developer in the specific technical regulations, considering specificities of products as well as the object of technical regulation (for example - electromagnetic compatibility and others), and the level of risk of harm and shall be conducted by appropriate competent authorities of the Member States of the Customs Union.

Typical forms of conformity assessment are provided in Appendix A.

3. State registration of products shall be conducted by bodies and institutions authorized in the field of sanitary-epidemiological welfare of population through issuance of certificates of state registration.

For products which are subject to state registration a predominant form of conformity is declaration of conformity.

Model schemes of state registration of products are provided in Appendix B.

4. State registration of industrial facilities shall be conducted by authorized body of the member-state of the Customs Union on the basis of application of an industrial facility for state registration.

5. Selection of forms and schemes of conformity assessment shall be carried out considering summarized risk of incorrect assessment of compliance and potential harm of use of products that have undergone conformity assessment. In selection of forms and schemes it is necessary to consider the following key factors:

- level of potential harm of a product;
- sensitivity of defined indicators to the change of industrial and (or) operational factors;
- status of Applicant (manufacturer, person authorized by the manufacturer, seller, supplier);
- adequacy of the level of conformity evidence and costs of assessment of conformity with the purposes of technical regulations.

6. Conformity confirmation shall be carried out in the form of certification or declaration of conformity in accordance with model schemes.

Certificate of conformity may be used as evidentiary materials in accepting declaration of conformity, registration and approval of the type of the product, if such procedure is established in the technical regulation.

7. Model schemes of conformity confirmation is a set of actions (elements), the results of which are used to make decision on compliance (non-compliance) of products with requirements of technical regulation.

In general, such actions (elements) include:

- analysis of technical documentation;
- identification, product testing, examination of the type of a product;
- assessment of production, production control;
- issuance of a certificate of conformity, a declaration of conformity with technical regulations of a single form approved by the Customs Union Commission (hereinafter - certificate of conformity, declaration of conformity);
- registration of the declaration of conformity;
- Application of a unified (single) circulation sign of products in the market of the member-states of the Customs Union (hereinafter - application of a single circulation sign);
- Inspection control.

8. Analysis of the technical documentation is an integral element of each model scheme and may include:

Analysis for the identification of a product;

analysis to determine applicability of the technical documentation for conformity assessment;

examination of the project.

9. Content of the technical documentation confirming compliance of products with the requirements of technical regulations is established in a certain technical regulation, and in general may include:

Technical specifications / descriptions (if any);

operational documents (if any);

list of standards related to technical regulation, the requirements of which are met by these products (if a manufacturer uses them);

description of adopted technical decisions, confirming compliance with requirements of technical regulations, if standards related to technical regulations are absent or not applied;

protocols of acceptance, acceptance-hand-over and other tests conducted by Applicant and/or accredited testing laboratories (centers), confirming the compliance of the products with requirements of technical regulations;

documents, confirming the safety of a product under legislation of the Customs Union and the member-states of the Customs union;

Certificate of conformity for management systems;

Certificate of conformity or test reports for raw materials, materials, accessories or components of the product;

other documents, confirming the safety of the product.

10. Examination of the project of the product may be conducted through analysis of the technical documentation, in accordance with which the products are manufactured and the results of calculations and tests of experimental samples of products.

11. Examination of the type of the product may be carried out through:

examination of sample for planned production as a typical representative of products;

analysis of technical documentation, product sample tests or test of critical components of the product.

12. Assessment of production can be represented by the following main types:

analysis of production condition;

management systems certification.

13. Production control to be carried out by the manufacturer to ensure the stability of conformity of manufactured products to the technical documentation and requirements of technical regulations.

14. Registration of declaration of conformity to be carried out by notification principle in accordance with the procedure set by the Customs Union Commission.

15. Inspection control to be carried out only within the framework of certification and may include:

- sample testing of certified products;
- analysis of production conditions;
- inspection control of certified management system.

16. Control over products whose conformity is confirmed by declaration of conformity shall be conducted within the framework of the state control (supervision).

17. In order to give Applicant the right to choose a conformity confirmation scheme that is the most acceptable for him it is recommended in the technical regulations to specify several model schemes for a certain product that are equivalent as per the level of the justification of their compliance with the technical regulations taking into account their application conditions.

18. Depending on the model scheme of certification, conformity confirmation in the form of certification is carried out by an accredited body on certification, accredited body on certification of management systems, included in the Unified Register of certification bodies and testing laboratories (centers) of the Customs Union (hereinafter –product certification body, management systems certification body).

19. Depending on the model scheme of the declaration of conformity, the conformity confirmation in the form of declaration of conformity shall be conducted based on own evidences and (or)evidences obtained with participation of the product certification body, management systems certification body, accredited test laboratory included in the Unified Register of certification bodies and testing laboratories (centers) of the Customs Union(hereinafter - the accredited testing laboratory).

20. Model certification scheme are provided in Annex C.

21. Model schemes of declaration of conformity are provided in Annex D.

22. Description of model certification schemes.

22.1. Certification Scheme 1c

22.1.1. Scheme 1c involves the following procedures:

- Applicant submits application to product certification body to conduct certification with accompanying technical documentation;
- product certification body examines the application and makes decision on it;
- product certification body selects product samples to conduct testing;
- product sample testing by accredited testing laboratory;
- product certification body conducts analysis of the production condition;
- product certification body summarizes the results of testing and analysis of production condition and issues a certificate of conformity to the Applicant;
- application of a single circulation sign;
- inspection control over the certified products.

22.1.2. Applicant shall take all necessary measures to make the manufacturing process stable and ensures compliance of manufactured products with the technical regulations requirements, forms technical documentation and submits application for a certification for its products to one of the product certification bodies that accredits this type of product.

22.1.3. Product certification body analyses the technical production documentation provided by Applicant and informs Applicant on decision on the application that contains the conditions for conducted certification.

22.1.4. Product certification body shall select samples of products from Applicant for testing.

Sample testing shall be conducted by accredited testing laboratory by order of the product certification body to whom the test report shall be submitted.

22.1.5. Analysis of production condition of the Applicant shall be conducted by product certification body. Results of the analysis shall be registered in the act.

22.1.6. At positive test results and analysis of the production condition the certification body shall prepare a certificate of conformity and issue it to the Applicant.

22.1.7. Applicant shall apply a common circulation mark, unless otherwise established in the technical regulations.

22.1.8. Product certification body shall conduct inspection control over the certified products during the term of the certificate of conformity through testing samples of products in the accredited testing laboratory and (or) carrying out analysis of production conditions. At positive results of the inspection control the validity of the certificate of conformity is deemed to be confirmed and this fact is indicated in the act of inspection control. At negative results of inspection control the product certification body shall take one of the following decisions:

- suspend validity of the certificate of conformity;
- cancel validity of the certificate of conformity.

Decisions taken by the product certification body shall be made known to Applicant.

Product certification body shall make appropriate records in the Unified Register of Issued Certificates of Conformity and Registered Declarations of Conformity of a Common form.

22.1.9. When making changes to the design (composition) of products or its production technology, which may affect the conformity of product with requirements of the technical regulations, Applicant in writing and in advance shall notify the products certification body which decides on the need for new tests and (or) the analysis of the production condition.

22.2. Certification Scheme 2c

22.2.1. Scheme 2c involves the following procedures:

- Applicant submits application to product certification body for conducting certification with accompanying technical documentation, which on a mandatory basis shall include the management systems certificate(copy of certificate) issued by the management systems certification body, confirming that the management system complies with requirements defined in the technical regulations;

- examination of application and making decision by the certification body on certification of products;

- certification body selects product samples for testing;

- accredited testing laboratory conducts sample testing;

- product certification body summarizes the results of analysis of Applicant's technical documentation, results of sample tests and issues a certificate of conformity to Applicant;

- application of a single circulation sign;

- inspection control over the certified products, control over stability of management system operation.

22.2.2. Applicant shall take all necessary measures to ensure the stability of the management system functioning and production conditions for manufacturing products that comply with requirements of technical regulations, forms the technical documentation and submits application for certification of its products to one of product certification bodies that is accredited for the given type of product.

The application shall indicate the document, for compliance with which the management system is certified, taking into account that in the technical regulation there may be one or several documents, for compliance with which management system is certified.

Simultaneously, Applicant shall submit the management systems certificate (copy of the certificate).

22.2.3. Examination of application, selection and testing of samples - in accordance with 22.1.3, 22.1.4.

22.2.4. At positive results of analysis of the technical documentation and testing, the product certification body shall prepare a certificate of conformity and issue to the Applicant.

22.2.5. Applicant shall apply a common circulation mark, unless otherwise established in the technical regulations.

22.2.6. Product certification body shall conduct inspection control over the certified products during the term of the certificate of conformity by testing product samples in the accredited testing laboratory and carrying out analysis of inspection control results by Management systems certification body. At positive results of the inspection control, the validity of the certificate of conformity is deemed to be confirmed and this fact shall be indicated in the act of inspection control. At negative results of inspection control, the product certification body shall take one of the following decisions:

- suspend validity of the certificate of conformity;
- cancel validity of the certificate of conformity.

Decisions taken by the product certification body shall be made known to Applicant.

Product certification body shall make appropriate records in the Unified Register of the Issued Certificates of Conformity and Registered Declarations of Conformity of a Common Form

22.3. Certification Scheme 3c

22.3.1. Scheme 3c involves the following procedures:

- Applicant submits application to product certification body for conducting certification with accompanying technical documentation;
- examination of application and making decision by the certification body on certification of products;
- certification body selects product samples for testing;
- accredited testing laboratory conducts sample testing;
- product certification body analyses the test results and issues certificate of conformity to Applicant;

- common circulation mark is applied to product batches;

22.3.2. Applicant forms technical documentation and applies for certification of batches in one of the product certification bodies that is accredited for this type of product.

The application shall contain the identifying characteristics of the batch and its component units.

22.3.3. The certification body shall inform the Applicant on a decision on the application, containing the conditions of conducted certification.

22.3.4. The certification body shall conduct identification of a batch and selection of samples for testing.

22.3.5. Test of batch (samples from the batch) shall be done by accredited testing laboratory on the instructions of the certification body, that shall receive the test report.

22.3.6. At positive test results, the certification body shall prepare and issue a certificate of conformity to the Applicant.

22.3.7. Applicant shall put a common circulation mark, unless otherwise established in the technical regulations.

22.4. Certification Scheme 4c

22.4.1. Scheme 4c involves the following procedures:

- Applicant submits application to certification body for conducting certification with accompanying technical documentation;
- certification body examines application and makes decision on certification of products;
- accredited testing laboratory conducts sample testing for each item;
- product certification body analyses the test results and issues a certificate of conformity to Applicant;
- a common circulation mark is applied.

22.4.2. Applicant forms technical documentation and applies for certification of a product unit to one of the product certification bodies that is accredited for the given type of product.

The application shall contain the identifying characteristics of product unit.

22.4.3. Certification body shall inform Applicant on decision on the application, containing the conditions of conducted certification.

22.4.4. Accredited testing laboratory shall conduct tests of product unit on the instructions of the certification body, that shall receive the test report

22.4.5. At positive test results, the certification body shall prepare and issue a certificate of conformity to the Applicant.

22.4.6. Applicant shall put a common circulation mark, unless otherwise established in the technical regulations.

22.5. Certification Scheme 5c

22.5.1. 5c scheme involves the following procedures:

- Applicant submits application to product certification body for conducting certification with accompanying technical documentation;

- examination of application and making decision by the certification body on certification of products;

- certification body examines the project;

- certification body analyses the production conditions

- product certification body summarizes the results of examination of the project and analysis of production condition and issues a certificate of conformity to Applicant;

- common circulation mark to be applied;

- inspection control over the certified products.

22.5.2. Applicant shall take all necessary measures to make the manufacturing process stable and ensures compliance of manufactured products with the technical regulations requirements, forms technical documentation and submits the application for certification of its products to one of the product certification bodies that accredits this type of product.

22.5.3. Certification body informs Applicant on decision on the application that contains the conditions for conducted certification.

22.5.4. Certification body shall conduct analysis of the product project by analyzing technical documentation under which the product is manufactured, results of conducted calculations, texts of trial samples of product.

Product project analysis results shall be indicated in the conclusion where the certification body assesses compliance of the product project with the established requirements.

22.5.5. Analysis of Applicant's production condition shall be conducted by certification body. Results of the analysis shall be registered in the act.

22.5.6. At positive results of product project analysis and analysis of the production condition, the certification body shall prepare a certificate of conformity and issue it to Applicant.

22.5.7. Applicant shall apply a common circulation mark, unless otherwise Established in the technical regulations.

22.5.8. Certification body shall conduct inspection control over the certified products during the term of the certificate of conformity by testing product samples in the accredited testing laboratory and carrying out (or) analysis of production conditions. At positive results of the inspection control, the validity of certificate of conformity is deemed to be confirmed and this fact is indicated in the act of inspection control. At negative results of inspection control, the product certification body shall takes one of the following decisions:

- suspend validity of the certificate of conformity;

- cancel validity of the certificate of conformity.

Decisions taken by the product certification body shall be made known to Applicant.

Product certification body shall make appropriate records in the Unified Register of Issued Certificates of Conformity and Registered Declarations of Conformity of a Common Form.

22.1.9. When making changes to the design (composition) of products or its production technology, which may affect the conformity of product with requirements of the technical regulations, Applicant in writing and in advance notifies the products certification body, which decides on the need for new tests and (or) the analysis of the production condition.

22.6. Certification Scheme 6c

22.6.1. Scheme 6c involves the following procedures:

-Applicant submits application to product certification body for conducting certification with accompanying technical documentation, which on a mandatory basis shall include the management systems certificate(copy of certificate) issued by the management systems certification body, confirming that the management system complies with requirements defined in the technical regulations;

- certification body conducts examination of product project;

- product certification body summarizes the results of analysis of Applicant's technical documentation including results of examination of product project and issues certificate of conformity to Applicant;

- common circulation mark to be applied;

- inspection control over the certified products (control over stability of management system functions).

22.6.2. Applicant shall take all necessary measures to ensure the stability of the management system functioning and production conditions for manufacturing products complying with requirements of technical regulations, forms the technical documentation and submits application for certification of its products to one of product certification bodies that is accredited for the given type of product.

The application shall indicate the document for which the management system is certified, taking into account that the technical regulation may set one or more documents, for compliance with which management system shall be certified .

Simultaneously, Applicant shall submit the management systems certificate (copy of certificate).

22.6.3. Certification body shall analyze the technical documentation and examine the product project as per 22.5.4 and at positive results shall prepare a certificate of conformity and issue it to the Applicant.

22.6.4. Applicant shall apply a common circulation mark, unless otherwise established in the technical regulations.

22.6.5. Product certification body shall conduct inspection control over the certified products during the term of the certificate of conformity by testing product samples in the accredited testing laboratory and carrying out analysis of inspection control results by management systems certification body. At positive results of the inspection control, the validity of certificate of conformity is deemed to be confirmed and this fact shall be indicated in the act of inspection control. At negative results of inspection control, the product certification body shall takes one of the following decisions:

- suspend validity of the certificate of conformity;

- cancel validity of the certificate of conformity.

Decisions taken by the product certification body shall be made known to Applicant.

Product certification body shall make appropriate records in the Unified register of issued certificate of conformity and registered declaration of conformity as per the single form .

22.6.7. When making changes to the design (composition) of products or its production technology, which may affect the conformity of product with requirements of the technical regulations, Applicant in writing and in advance notify the products certification body which decides on the need for new tests and (or) the analysis of the production condition.

22.7. Certification Scheme 7c

22.7.1. Scheme 7c involves the following procedures:

- Applicant submits application to product certification body for conducting certification;

- certification body examines the application and takes decision on it;

- product certification body conducts examination of the type;

- product certification body conducts analysis of production condition;

- product certification body summarizes the results of examination of the project and analysis the production conditions and issues certificate of conformity to Applicant;

- common circulation mark to be applied;
- inspection control over the certified product.

22.7.2. Applicant shall take all necessary measures to ensure the stability of the management system functioning and production conditions for manufacturing products complying with requirements of technical regulations, forms the technical documentation and submits application for certification of its products to one of product certification bodies that is accredited for the given type of product.

22.7.3. Certification body shall inform the Applicant on decision about the application contacting the conditions of certification procedure.

22.7.4. Certification body shall conduct examination of product type by one of the following methods:

- examine sample as a typical representative of all future products for planned production;
- analysis of the technical documentation, sample tests or test of critical components of the product.

The results of such research to be indicated in the conclusion where the certification body assesses compliance of product type with requirements.

22.7.5. Analysis of production conditions of the Applicant to be conducted by certification body. Results of the analysis to be registered by the act.

22.7.6. At positive results of examination of product type and analysis of production condition the certification body shall prepare a certificate of conformity and issue it to the Applicant.

22.7.7. Applicant shall put a common circulation mark, unless otherwise established in the technical regulations.

22.7.8. Product certification body shall conduct inspection control over the certified products during the term of the certificate of conformity by testing products samples in the accredited testing laboratory and (or) carrying out analysis of production conditions. At positive results of the inspection control the validity of certificate of conformity is deemed to be confirmed and this fact shall be indicated in the act of inspection control. At negative results of inspection control the product certification body shall takes one of the following decisions:

- suspend validity of the certificate of conformity;
- cancel validity of the certificate of conformity.

Decisions taken by the product certification body shall be made known to Applicant.

Product certification body shall make appropriate records in the Unified Register of Issued Certificates of Conformity and Registered Declarations of Conformity of a Common Form

22.7.9. When making changes to the design (composition) of products or its production technology, which may affect the conformity of product with requirements of the technical regulations, Applicant in writing and in advance notifies the products certification body which decides on the need for new tests and (or) the analysis of the production condition

22.8. Certification Scheme 8c

22.8.1 Scheme 8c includes the following procedures:

-Applicant submits application to product certification body for conducting certification with accompanying technical documentation, which on a mandatory basis shall include the management systems certificate(copy of certificate) issued by the management systems certification body, confirming that the management system complies with requirements defined in the technical regulations;

- certification body examines the application and takes decision on it;
- product certification body conducts examination of the type;
- product certification body summarizes the results of analysis of the technical documentation including examination of type, and issues certificate of conformity to Applicant;
- common circulation mark to be applied;

- inspection control over the certified products (control over stability of management system functions).

22.8.2. Applicant shall take all necessary measures to ensure the stability of the management system functioning and production conditions for manufacturing products complying with requirements of technical regulations, forms the technical documentation and submits application for certification of its products to one of product certification bodies that is accredited for the given type of product.

The application shall indicate the document for which the management system is certified, given that the technical regulation may set one or more documents, that shall be complied by certified management system.

Simultaneously, Applicant shall submit the management systems certificate (copy of certificate).

22.8.3. Certification body shall analyze submitted documentation and conducts type examination in accordance with 22.7.4 and at positive results shall prepare a certificate of conformity and issue it to Applicant.

22.8.4. Applicant shall apply a common circulation mark, unless otherwise Established in the technical regulations.

22.6.5. Product certification body shall conduct inspection control over the certified products during the term of the certificate of conformity by testing product samples in the accredited testing laboratory and (or) carrying out analysis of inspection control results by management systems certification body. At positive results of the inspection control, the validity of certificate of conformity is deemed to be confirmed and this fact shall be indicated in the act of inspection control. At negative results of inspection control, the product certification body shall take one of the following decisions:

- suspend validity of the certificate of conformity;
- cancel validity of the certificate of conformity.

Decisions taken by the product certification body shall be made known to Applicant.

Product certification body shall make appropriate records in the Unified Register of Issued Certificates of Conformity and Registered Declarations of Conformity of a Common Form.

22.8.6. When making changes to the design (composition) of products or its production technology, which may affect the conformity of product with requirements of the technical regulations, Applicant in writing and in advance notifies the product certification body, which decides on the need for new tests and (or) the analysis of the production condition.

22.9. Certification Scheme 9c

22.9.1. Scheme 9c involves the following procedures:

- Applicant submits application to product certification body for conducting certification with accompanying technical documentation, which on a mandatory basis shall include:

information on conducted examination;

test reports from the manufacturer or accredited testing laboratory;

certificate of conformity for accessory materials and components or test reports (if any);

certificates for quality management system (if any);

documents confirming compliance of given products with requirements of appropriate technical regulations issued by foreign certification bodies;

other documents, directly or indirectly confirming compliance of products with the requirements.

certification body examines application and makes decision on conducting product certification;

certification body conducts and summarizes the results of analysis of technical documentation and issues certificate of conformity to the Applicant;

common circulation mark shall be applied.

22.9.2. Applicant shall take all necessary measures to ensure the stability of the management system functioning and production conditions for manufacturing products complying with requirements of technical regulations, forms the technical documentation and submits application for certification of its products to one of product certification bodies that is accredited for the given type of product.

22.9.3. Certification body shall inform the Applicant on taken decision on the application, containing the conditions of certification.

22.9.4. Certification body shall conduct analysis of technical documentation, results of conducted calculations, product tests and other documents confirming compliance of the product with the established requirements.

Technical documentation analysis results shall be presented in the form of conclusion, where the certification body gives assessment of compliance of product to the established requirements.

22.9.5. At the positive results of the analysis of technical documentation of a product the certification body issues a certificate of conformity and provides it to the applicant.

22.9.6. Applicant shall apply a common circulation mark, unless otherwise Established in the technical regulations.

Product certification body shall make appropriate records in the Unified Register of Issued Certificates of Conformity and Registered Declarations of Conformity of a Common Form.

22.9.7. When making changes to the design (composition) of products or its production technology, which may affect the conformity of product with requirements of the technical regulations, Applicant in writing and in advance notifies the products certification body, which decides on the need for new tests and (or) the analysis of the production condition

23. Description of typical schemes of declaration of conformity.

23.1. Declaration scheme 1d

23.1.1 Scheme 1d includes the following procedures:

- elaboration and analysis of technical documentation;
- implementation of production control;
- testing of product samples;
- acceptance and registration of declaration of conformity;
- application of a common circulation mark.

23.1.2. Applicant shall take all necessary measures to ensure the stability of the production process and compliance of produced products with requirements of technical regulations, forms the technical documentation and undertakes its analysis.

23.1.3. Applicant ensures conduct of industrial control.

23.1.4. In order to monitor product compliance with the technical regulations the Applicant shall conduct testing of product samples. Sample tests shall be conducted at the Applicant's choice in the testing laboratory or in accredited testing laboratory.

23.1.5. Applicant shall prepare declaration of conformity and register and register it on the basis of notification principle.

23.1.6. Applicant shall apply a common circulation mark, unless otherwise Established in the technical regulations.

23.2. Declaration scheme 2d

23.2.1. Scheme 2d involves the following procedures:

- elaboration and analysis of technical documentation;
- testing of product batch (single unit);
- acceptance and registration of declaration of conformity;
- application of a common circulation mark.

23.2.2. Applicant shall form the technical documentation and undertake its analysis.

23.2.3. Applicant shall test product samples (single unit) to provide evidence of the claimed compliance of the product with technical regulations. Testing of product samples (single unit) to be held at the Applicant's choice in testing laboratory or in accredited testing laboratory.

23.2.4. Applicant shall prepare declaration of conformity and register it on the basis of notification principle.

23.2.5. Applicant shall apply a common circulation mark, unless otherwise established in the technical regulations.

23.3. Declaration scheme 3d

23.3.1. Scheme 3d involves the following procedures:

- elaboration and analysis of technical documentation;
- implementation of production control;
- testing of product samples;
- acceptance and registration of declaration of conformity;
- application of a common circulation mark.

23.3.2. Applicant shall take all necessary measures to ensure the stability of the production process and compliance of produced products with requirements of technical regulations, forms the technical documentation and undertakes its analysis.

23.3.3. Applicant ensures conduct of industrial control.

23.3.4. In order to monitor product compliance with the technical regulations the Applicant shall conduct testing of product samples. Sample tests to be conducted in the accredited testing laboratory.

23.3.5. Applicant shall prepare declaration of conformity and register it on the basis of notification principle.

23.3.6. Applicant shall apply a common circulation mark, unless otherwise Established in the technical regulations

23.4. Declaration scheme 4d

23.4.1. Scheme 4d involves the following procedures:

- elaboration and analysis of technical documentation;
- testing of product batch (single unit);
- acceptance and registration of declaration of conformity;
- application of a common circulation mark.

23.4.2. Applicant shall form the technical documentation and undertake its analysis.

23.4.3. Applicant shall test product samples (single unit) to provide evidence of the claimed product compliance with technical regulations. Product (single unit) samples testing shall be done in the accredited testing laboratory.

23.4.4. Applicant shall prepare declaration of conformity and register it on the basis of notification principle.

23.2.5. Applicant shall apply a common circulation mark, unless otherwise established in the technical regulations

23.5. Declaration scheme 5d

23.5.1. Scheme 5d involves the following procedures:

- elaboration and analysis of technical documentation;
- implementation of production control;
- examination (testing) of product samples;
- acceptance and registration of declaration of conformity;
- application of a common circulation mark.

23.5.2. Applicant shall take all necessary measures to ensure the stability of the production process and ensure declared compliance of produced products with requirements of technical regulations, forms the technical documentation and undertakes its analysis.

23.5.3. Applicant shall provide industrial control.

23.5.4. Product certification body (accredited testing laboratory), in accordance with their scope of accreditation and at the instruction of Applicant, shall conduct examination of the type of the product in one of the following ways:

- examination of a sample as a typical representative of all future products for planned production;
- analysis of technical documentation, tests of a sample or critical components of the product.

Results of such examination to be stated in the conclusion of (certificate of conformity) and (or) protocol where the accredited testing laboratory assesses the compliance of product type to the requirements.

23.5.5. Applicant shall prepare a declaration of conformity and register it on the basis of the notification principle.

23.5.6. Applicant shall apply a common circulation mark, unless otherwise established in the technical regulations.

23.6. Declaration scheme 6d

23.6.1. Scheme 6d involves the following procedures:

- Development and analysis of the technical documentation which on a mandatory basis includes the management systems certificate(copy of certificate) issued by the Management systems certification body which confirms compliance of management system with requirements defined in the technical regulations;

- implementation of production control;
- testing of product samples;
- acceptance and registration of a declaration of conformity;
- application of a common circulation mark;
- control over the stability of the management system functions.

23.6.2 Applicant shall take all necessary measures to ensure stability of the management system functioning and production conditions for manufacturing of products in accordance with requirements of technical regulations, forms the technical documentation and its analysis considering that the technical regulations may set one or more documents for compliance with which the management system is being certified.

23.6.3. Applicant shall provide industrial control and inform the management certification body about all the planned changes to the management system.

23.6.4. Applicant shall conduct testing of product samples. Testing of product samples shall be conducted in the accredited testing laboratory.

23.6.5. Applicant shall prepare a declaration of conformity and register it as per notification principle.

23.6.6. Applicant shall apply a common circulation mark, unless otherwise Established in the technical regulations.

23.6.7. Management systems certification body shall conduct inspection control over functioning of certified management system.

At negative results of inspection control, Applicant shall take one of the following decisions:

- suspend validity of the declaration of conformity;
- cancel validity of the declaration of conformity.

Applicant shall make appropriate records in the Unified Register of Issued Certificates of Conformity and Registered Declarations of Conformity of a Common Form.

24.Storage of technical documentation

Procedures for storage of technical documentation including documents confirming conformity shall be established in the appropriate technical regulations. In general, technical documentation, including documents confirming conformity, within the territory of the Customs Union member-states should be stored for:

products - at manufacturer (authorized person of manufacturer) not less than 10 years from the date of withdrawal (termination) from production of the given product;

product batch (single unit) - at seller (supplier), manufacturer (authorized person of manufacturer) not less than 10 years from the date of sale of the last unit of the batch.

Documents and materials, confirming the results of certification shall be stored in the certification body which issued the certificate of conformity within not less than 5 years after the expiry of the certificate of conformity.

The above documents shall be provided to the state supervision bodies upon request.

Typical forms of conformity assessment

| Combination of forms of conformity assessment | | |
|--|---------------------------|-----------------------------|
| Registration, examination, applicability assessment | Compliance confirmation | State control (supervision) |
| - | Declaration of conformity | + |
| - | Certification | + |
| Product registration, including State registration | - | + |
| Product registration, including State registration | Declaration of conformity | + |
| Product registration | Certification | + |
| Expert testimony, acceptability appraisal | - | + |
| Expert testimony, acceptability appraisal | Declaration of conformity | + |
| Expert testimony, acceptability appraisal | Certification | + |
| State registration of products, industrial facilities | - | + |
| State registration of products, industrial facilities | Declaration of conformity | + |
| State registration of industrial facilities | Declaration of conformity | + |
| State registration of products, of industrial facilities | Certification | + |

Model schemes of state registration of products

| Product testing | Combination of forms | | Notes | Document confirming safety |
|---|------------------------|---------------------------|---|-----------------------------------|
| | Production assessment | Industrial control | | |
| Testing of product samples and examination of documentation | - | Conducted by manufacturer | Applicant - manufacturer (producer) supplier (importer) | Certificate of state registration |
| Testing of product samples | Analysis of production | Conducted by manufacturer | Applicant - manufacturer | Certificate of state |

| | | | | |
|----------------------------------|------------|--|------------|--------------|
| and examination of documentation | conditions | | (producer) | registration |
|----------------------------------|------------|--|------------|--------------|

Annex C

Model schemes of certification

| Scheme # | Scheme component | | | Applica tion | Document confirming compliance |
|----------|---|--------------------------------------|--|--|---|
| | Product testing | Production assessment | Inspection control | | |
| 1C | Testing of product samples | Analysis of the production condition | Product sample tests and (or) production condition analysis | For serial produced products Applicant - manufacturer, including foreign, in the presence of a person authorized by a manufacturer in the territory of Customs Union | Compliance certificate for serially manufactured products |
| 2C | Testing of product samples | Management systems certification | Testing of product samples and management system supervision | | |
| 3C | Testing of product samples | - | - | For products batch (single unit) | Compliance certificate for product batch |
| 4C | Single unit testing | - | - | Applicant - manufacturer, (supplier) including foreign | Compliance certificate for single unit |
| 5C | Examination of the project of the product | Analysis of the production condition | Testing of product samples and (or) analysis of production condition | For serial produced products, if confirming compliance to requirements upon testing of finished products to a full extent is not possible or difficult Applicant - manufacturer, | Compliance certificate for serially manufactured products |
| 6C | Examination of the project of the product | Management systems certification | Testing of product samples and inspection control of management system | | |

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|----|---|--------------------------------------|--|---|---|
| | | | | (supplier) including foreign, , in the presence of a person authorized by a manufacturer in the territory of Customs Union | |
| 7C | Examination (testing) of type | Analysis of the production condition | Product sample tests and (or) production condition analysis | For complex products intended for serial and mass production as well as in case large number of different modifications of product are planned to be produced | Compliance certificate for serially manufactured products |
| 8C | Examination (testing) of type | Management systems certification | Testing of product samples and inspection control of management system | Applicant - manufacturer, including foreign, , in the presence of a person authorized by a manufacturer in the territory of Customs Union | |
| 9C | On the basis of analysis of technical documentation | - | - | For limited volume batch supplied by foreign producer or for complex products intended for supply by companies | Compliance certificate for limited volume batch |

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|--|--|--|--|---|--|
| | | | | <p>within the territory of the Customs Union</p> <p>Applicant - manufacturer, including foreign, , in the presence of a person authorized by a manufacturer in the territory of Customs Union</p> | |
|--|--|--|--|---|--|

Annex D

Model schemes of declaration of conformity

| Scheme # | Scheme component | | | Application | Document confirming compliance |
|----------|--|-----------------------|---|---|--|
| | Product testing, type examination | Production assessment | Inspection control | | |
| 1D | Testing of product samples is conducted by manufacturer | - | Production control is conducted by manufacturer | For serial produced products Applicant - manufacturer of the Customs Union member-state or person authorized by foreign manufacturer within the Customs Union territory | Certificate of conformity for serially manufactured products |
| 2D | Product batch (single unit) testing conducted by Applicant | - | - | | |
| 3D | Testing of product samples in accredited testing | - | Production control is carried out by manufacturer | For serial produced products Applicant - manufacturer | Certificate of conformity for serially manufactured products |

| | | | | | |
|----|---|---|--|--|--|
| | laboratory (center) | | | of the Customs Union member-state or a person authorized by foreign manufacturer within the Customs Union territory | |
| 4D | Product batch (single unit) testing in accredited testing laboratory (center) | - | - | For product batch (single unit) Applicant - manufacturer, seller (supplier) of the Customs Union member-state or a person authorized by foreign manufacturer within the Customs Union territory | Declaration of conformity for product batch (single unit) |
| 5D | Examination (testing) of type | - | Production control is carried out by manufacturer | For serial produced products Applicant - manufacturer of the | Declaration of conformity for serially manufactured products |
| 6D | Testing of product samples in accredited testing laboratory (center) | Management systems certification and inspection control by Management systems certification body | Production control by manufacturer | Customs Union member-state or a person authorized by foreign manufacturer within the Customs Union territory | Declaration of conformity for serially manufactured products |